

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Bauhahn

Serial No.: 09/982,763

Filed: October 18, 2001

For: PATIENT DIRECTED
THERAPY MANAGEMENT

Atty. Docket No.: 011738.00039

Group Art Unit: 3762

Examiner: Mark Bockelman

Confirmation No.: 8709

AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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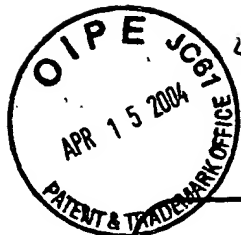
APR 20 2004

TECHNOLOGY CENTER 3700

Dear Sir,

This paper is responsive to the Office Action dated March 15, 2004. The Office Action set a one-month period for response, thus making this paper due on or before **April 15, 2004.** Applicant respectfully requests that the entry of the following Amendment be admitted prior to the examination of the Application.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.



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04/16/04

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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | | |
|---|------------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/982,763 |
| | Filing Date | October 18, 2001 |
| | First Named Inventor | Bauhahn |
| | Art Unit | 3762 |
| | Examiner Name | Bockelman, Mark |
| Total Number of Pages in This Submission | Attorney Docket Number | 011738.00039 |

ENCLOSURES (check all that apply)

| | | | | |
|---|--|---|---------|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Certificate of Express Mailing (1 page) | | |
| <table border="1"><tr><td>Remarks</td><td>The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.</td></tr></table> | | | Remarks | The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed. |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | Banner & Witcoff, Ltd. Binal J. Patel, Reg. No. 42,065 |
| Signature | |
| Date | April 15, 2004 |

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CERTIFICATE OF TRANSMISSION/MAILING

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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | | | |
| Signature | | Date | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CERTIFICATE OF MAILING
(PATENT APPLICATION)**

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Deposited: April 15, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Non-Fee Amendment, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Application of: Bauhahn

Application No.: 09/982,763

Filing Date: October 18, 2001

Title: Patient Directed Therapy Management

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Transmitted herewith are the following documents:

- ☒ Transmittal Form (1 page) in duplicate
- ☒ Amendment and Response to Restriction Requirement (5 pages)
- ☒ Return Receipt Postcard

Attorney Case No.: 11738.00039